

FORM PTO-1390 REV. 5-93 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEYS DOCKET NUMBER P05,0153 U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/532,870
INTERNATIONAL APPLICATION NO. PCT/EP2003/012106	INTERNATIONAL FILING DATE 30 October 2003	PRIORITY DATE CLAIMED 31 October 2002
TITLE OF INVENTION METHOD, COMPUTER PROGRAM PRODUCT AND DEVICE FOR THE PROCESSING OF A DOCUMENT DATA STREAM FROM AN INPUT FORMAT TO AN OUTPUT FORMAT (As Amended)		
APPLICANT(S) FOR DO/EO/US Hartwig SCHWIER, Werner ENGBROCKS, Klaus HIRTENREITER, Georg LANDMESSER and Matthias FROMM		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay. 4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input type="checkbox"/> A copy of International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). EXECUTED DECLARATION 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
Items 11. to 16. below concern other document(s) or information included:		
<ol style="list-style-type: none"> 11. <input type="checkbox"/> A Supplemental Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report). 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. 13. <input type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input checked="" type="checkbox"/> Other items or information: <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> EXPRESS MAIL #EV 6357870re US June 16, 2006. b. <input type="checkbox"/> c. <input type="checkbox"/> 		

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 10/532,870		INTERNATIONAL APPLICATION NO. PCT/EP2003/012106		ATTORNEY'S DOCKET NUMBER P05,0153	
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17. <input type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5): Search Report has been prepared by the EPO or JPO \$900.00 (Includes National Stage, Search, and Examination Fees)				CALCULATIONS		PTO USE ONLY	
				ENTER APPROPRIATE BASIC FEE AMOUNT =		\$130.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).				\$130.00			
Claims	Number Filed	Number Extra	Rate				
Total Claims		0	X \$50.00	\$			
Independent Claims		0	X \$200.00	\$			
Multiple Dependent Claims			\$300.00 +				
TOTAL OF ABOVE CALCULATIONS =				\$130.00			
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)							
SUBTOTAL =				\$130.00			
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+			
TOTAL NATIONAL FEE =				\$130.00			
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property				+			
TOTAL FEES ENCLOSED =				\$130.00			
				Amount to be refunded			
				charged			

a. ☒ A check in the amount of \$130.00 to cover the above fees is enclosed.

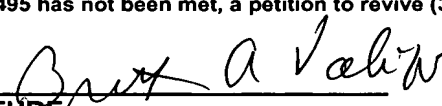
b. ☐ Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 501519. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Schiff Hardin LLP
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 6600 Sears Tower
 Chicago, Illinois 60606-6473
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SIGNATURE
Brett A. Valiquet
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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.

10/532,870

FIRST NAMED APPLICANT

Hartwig Schwier

ATTY. DOCKET NO.

P05,0153

INTERNATIONAL APPLICATION NO.

PCT/EP03/12106

I.A. FILING DATE

10/30/2003

PRIORITY DATE

10/31/2002

26574
SCHIFF HARDIN, LLP
PATENT DEPARTMENT
6600 SEARS TOWER
CHICAGO, IL 60606-6473

CONFIRMATION NO. 2241

371 FORMALITIES LETTER



OC000000018935653

Date Mailed: 05/25/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/27/2005
- English Translation of the IA filed on 04/27/2005
- Copy of the International Search Report filed on 04/27/2005
- Preliminary Amendments filed on 04/27/2005
- Information Disclosure Statements filed on 04/27/2005
- Oath or Declaration filed on 04/27/2005
- Request for Immediate Examination filed on 04/27/2005
- U.S. Basic National Fees filed on 04/27/2005
- Priority Documents filed on 04/27/2005
- Specification filed on 04/27/2005
- Claims filed on 04/27/2005
- Drawings filed on 04/27/2005

RECEIVED
JUN 9 2006
SCHIFF HARDIN LLP
U.S. PATENT DEPT.

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the

missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130 Surcharge.**

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

KAYA L LEWIS BALTIMORE

Telephone: (703) 308-9140 EXT 202

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/532,870	PCT/EP03/12106	P05,0153

CERTIFICATION OF MAILING

"Express Mail" Mailing Label Number **EV 635787054 US**

Date of Deposit: June 16, 2006

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to: Commissioner for Patents, **MAIL STOP PCT**, P.O. Box 1450, Alexandria VA 22313-1450.

Hartwig SCHWIER et al

PCT Application entitled: **METHOD, COMPUTER PROGRAM PRODUCT AND DEVICE FOR THE PROCESSING OF A DOCUMENT DATA STREAM FROM AN INPUT FORMAT TO AN OUTPUT FORMAT (As Amended)**

Our Case No. P05,0153 (26970-0374)

Transmittal Form in Duplicate

Executed Declaration

Copy of Notice

Executed Assignment – Separate Envelope – Form 1595 - \$40.00

Postcard

\$130.00

Signature of person mailing application and fee

CH11 4314971.1

